



# 2021-2022 ESSA Compliance Reports

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**Federal Program Compliance Division**

**2022 ACET Conference**  
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**2021-2022**

# **ESSA Consolidated Compliance Reports**

# ESSA Consolidated Compliance Reports

eGrants Report	Program
PR3099	Private Nonprofit Schools Equitable Services
PR1000	Title I, Part A
PR1200	Title I, Part C (MEP)
PR2000	Title I, Part D (Subparts 1 and 2)
PR3000	Title II, Part A
PR3002	Title III, Part A (ELA)
PR3114	Title III, Part A (Immigrant)
PR3107	Title IV, Part A
PR6200	School Choice Option
PR6400	Homeless Students Enrolled

# ESSA Consolidated Compliance Reports

- **ESSA Consolidated Compliance Reports have a new look**
- **Changed Program Implementation questions to Program Self-Check**
  - In Compliance
  - Not In Compliance
  - Not Applicable

## What is meant by In Compliance?

- Report lists “Strongest Documentation Recommended”
- LEA may have other documentation that potentially might show compliance
- The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

## Example of In Compliance?

1. The LEA Title I, Part A Program Plan has been developed with timely and meaningful consultation with teachers, principals, other school leaders, paraprofessionals, specialized instructional support personnel, charter school leaders (in an LEA that has charter schools), administrators (including administrators of programs in other parts of Title I), other appropriate school personnel, and with parents of children in schools served under Title I, Part A.  
[Section 1112(a)(1)(A)]

- a.  In Compliance

If in compliance, the LEA assures that it has documentation showing compliance readily available upon request. Strongest documentation recommended:

- Copy of the LEA's Title I, Part A Program Plan (the requirements related to the LEA Title I, Part A Program Plan can be included in the District Improvement Plan or other LEA official plans) AND
- Meeting agendas, meeting minutes or notes, and documentation of attendance (i.e., sign-in sheets, electronic attendance rosters documenting timely and meaningful stakeholder consultation in the development of the LEA Title I, Part A Program Plan AND
- Any other consultation documentation (i.e., surveys, correspondence) used to consult with the required stakeholder groups, if applicable.

The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

## What is meant by Not In Compliance?

- LEA is not meeting program requirement in the current reporting year
- LEA describes the plan to meet compliance the following year

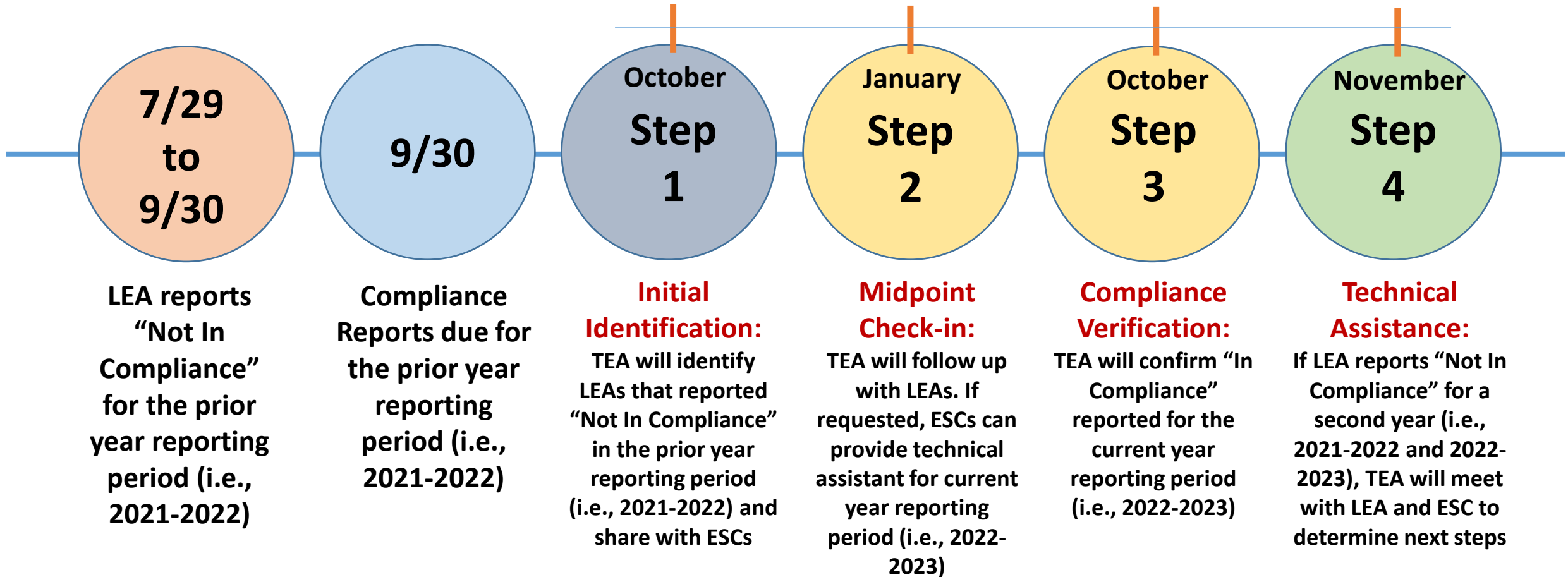
## Example of Not In Compliance?

b.  Not in Compliance

If not in compliance, use the space below to describe how the LEA plans to meet compliance the following year.



## What happens if LEA reports Not In Compliance?



## Sample Report to ESCs

**21-22 ESSA Consolidated Compliance Report**  
**Title I, Part A**  
**Program Self-Check Requirements**  
**Not In Compliance**

Region 21:

District Name	A. LEA Program			B. Parent and Family Engagement							C. Campus Schoolwide Program Plan				D. Supplement, Not Supplant	E. Targeted Assistance Program Eligibility
	1	2	3	1	2	3	4	5	6	7	1	2	3	4	1	1
ABC ISD	X															
ZXY ISD						X										

## Example of Process – Initial Identification to Midpoint Check-In



2021-2022

**Not In Compliance** ?  
Part 5.A.1 – LEA Plan



**Step 1:**  
In October, TEA will share list of LEAs with ESC.

**Step 2:**  
In January, TEA will do a midpoint check-in with LEA. If requested, ESC may provide technical assistance.



2022-2023

**In Compliance**  
Part 5.A.1 – LEA Plan

**Not In Compliance**  
Part 5.B.4 – Parent and Family Engagement

## Example of Process – Compliance Verification and Technical Assistance



2022-2023

**Step 3:**  
**In Compliance** ✓

Part 5.A.1 – LEA  
Plan

**Not In  
Compliance** ?

Part 5.B.4 – Parent  
and Family  
Engagement



**Step 4:**

TEA will meet  
with LEA and ESC  
to discuss  
continued  
program  
requirements  
not in  
compliance and  
to determine  
next steps.



LEA continues to  
report Not In  
Compliance for  
program  
requirements.  
LEA may be  
referred to  
**Federal Fiscal  
Monitoring** for  
full fiscal review.

## What is meant by Not Applicable?

- Only displays on report when a requirement may have circumstance that is not applicable

## Example of Not In Compliance?

- c.  Not Applicable  
If not applicable, the LEA assures that the following reason applies. No other reason is acceptable.
- The LEA only operates Targeted Assistance Program campuses.

## Quick Report Review:

- PR3099
- PR1000
- PR1200
- PR2000
- PR3000
- PR3002
- PR3114
- PR3107
- PR6200
- PR6400

# 2021-2022 Gun Free Schools Reports



## District

- Indicate if there are any firearm incidents – Yes/No
- District report is required to be submitted to receive a NOGA

## Campus

- Required if district reports having a firearm incident
- Only complete the campus report for where the firearm incident occurred
- Section 37.007(e) of the TEC requires a one-year expulsion for a student who brings a firearm to school.

## Campus

- Report unduplicated number of students and number of incidents by grade by type of firearm:
  - Handgun
  - Rifle/Shotgun
  - More than One Firearm
  - Other Firearm
- Disaggregate the unduplicated number of students
  - Not Students with disabilities
  - Students with disabilities
  - Other
    - Students Were **Not** Expelled and Who Were Removed for Other Reasons Such as Death, Withdrawal, or Incarceration
    - Students Who Received Another Type of Disciplinary Action
    - Students Who Received No Disciplinary Action

## **What happens if LEA indicates they had a firearm incident on the district report:**

1. TEA will confirm LEAs that indicate firearm incidents that a campus report has been submitted
2. TEA sends list of districts to Grants
3. Grants alerts LEA during negotiation of application
4. TEA may request to put a hold on ESSA Consolidated Federal Application NOGA until campus report(s) are submitted

**LEA has not fulfilled GFS reporting requirement until campus report(s) are submitted.**

## Quick Report Review:

- PR6000
- PR6100

# 2021-2022

# Rural and Low-Income Schools Report

# Rural and Low-Income Schools Report

- Required only for LEAs that applied for Rural and Low-Income Schools Grant
- Report Expenditures by Fund Sources and Program Activities Used to Achieve Goals
  - Title I, Part A
  - Title II, Part A
  - Title III
  - Title IV, Part A
  - Parental Involvement Activities
- Goals
  - Goal 1 – Increased Student Academic Achievement
  - Goal 2 – Decreased Dropout Rate
  - Goal 3 – Other

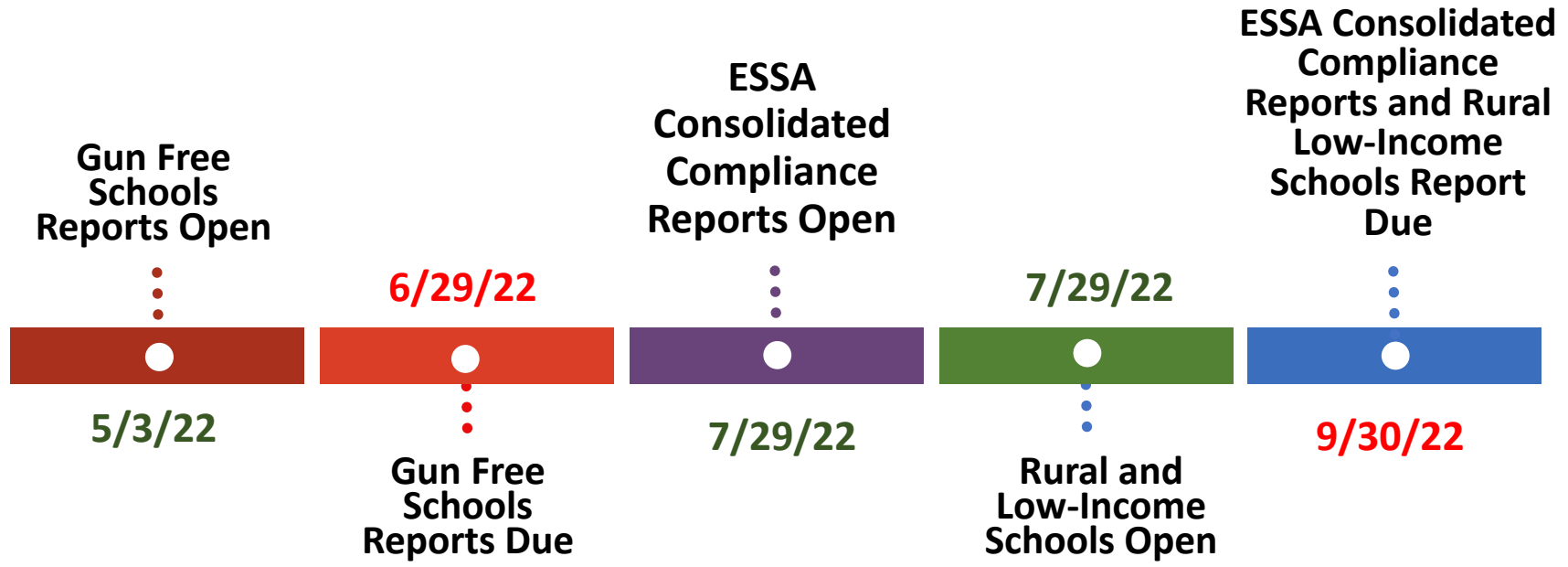
## Quick Report Review:

- PR6600

# Timelines



# Compliance Report Timeline



# Resources

# Resources - Coming Soon!!

- Program Compliance Self-Check Guide
- Website Updates
  - Mockups
  - Instructions
  - Training Video



## Contact Information



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